

Exhibit GG - Medicaid Behavioral Health Capitation Rate Trends and Forecasts

Capitation Rate Trends							
Fiscal Year	Adults 65 and Older (OAP-A)	Disabled Individuals	Low Income Adults ⁽¹⁾	MAGI Adults	Eligible Children	Foster Care	Weighted Behavioral Health Total ⁽²⁾
FY 2007-08 Actuals	\$13.15	\$114.07	\$17.51	\$0.00	\$13.94	\$262.46	\$40.88
FY 2008-09 Actuals ⁽³⁾	\$13.37	\$121.31	\$18.18	\$0.00	\$14.34	\$251.88	\$39.96
% Change from FY 2007-08	1.67%	6.35%	3.83%	-	2.87%	-4.03%	-2.25%
FY 2009-10 Actuals ⁽³⁾	\$13.40	\$131.64	\$19.33	\$0.00	\$14.71	\$220.67	\$38.08
% Change from FY 2008-09	0.22%	8.52%	6.33%	-	2.58%	-12.39%	-4.72%
FY 2010-11 Actuals ⁽³⁾	\$13.79	\$139.14	\$20.94	\$0.00	\$15.41	\$195.38	\$37.29
% Change from FY 2009-10	2.91%	5.70%	8.33%	-	4.76%	-11.46%	-2.05%
FY 2011-12 Actuals	\$13.89	\$140.82	\$21.69	\$100.85	\$16.33	\$179.30	\$36.60
% Change from FY 2010-11	0.73%	1.21%	3.58%	-	5.97%	-8.23%	-1.85%
FY 2012-13 Actuals	\$13.66	\$140.28	\$21.89	\$100.98	\$16.75	\$171.85	\$36.74
% Change from FY 2011-12	-1.66%	-0.38%	0.92%	0.13%	2.57%	-4.16%	0.38%
FY 2013-14 Actuals	\$13.96	\$144.99	\$24.05	\$79.28	\$17.21	\$176.87	\$40.32
% Change from FY 2012-13	2.20%	3.36%	9.87%	-21.49%	2.75%	2.92%	9.74%
FY 2014-15 Q1 and Q2 Known Rate	\$14.22	\$146.82	\$25.97	\$55.39	\$18.66	\$211.39	\$40.42
% Change from FY 2013-14	1.86%	1.26%	7.98%	-30.13%	8.43%	19.52%	0.25%
FY 2014-15 Q3 and Q4 Known Rate	\$14.22	\$146.82	\$25.97	\$55.39	\$18.66	\$211.39	\$40.63
% Change from FY 2014-15 Q1 and Q2	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.50%
% Change from FY 2013-14	1.86%	1.26%	7.98%	-30.13%	8.43%	19.52%	0.75%
FY 2014-15 Estimated Weighted Average Rate ⁽⁴⁾	\$14.22	\$146.82	\$25.97	\$55.39	\$18.66	\$211.39	\$40.51
% Change from FY 2013-14	1.86%	1.26%	7.98%	-30.13%	8.43%	19.52%	0.46%
FY 2015-16 Q1 and Q2 Estimated Rate	\$14.27	\$149.56	\$26.72	\$57.00	\$19.06	\$214.73	\$40.42
% Change from FY 2014-15 Q3 and Q4 Rate	0.35%	1.87%	2.89%	2.91%	2.14%	1.58%	-0.50%
% Change from FY 2014-15 Average Rate	0.35%	1.87%	2.89%	2.91%	2.14%	1.58%	-0.21%
FY 2015-16 Q3 and Q4 Estimated Rate	\$14.55	\$151.64	\$28.19	\$60.14	\$19.87	\$218.13	\$40.63
% Change from FY 2015-16 Q1 and Q2 Rate	1.96%	1.39%	5.50%	5.51%	4.25%	1.58%	0.50%
% Change from FY 2014-15 Average Rate	2.32%	3.28%	8.55%	8.58%	6.48%	3.19%	0.29%
FY 2015-16 Estimated Weighted Average Rate ⁽⁴⁾	\$14.41	\$150.61	\$27.47	\$58.57	\$19.47	\$216.43	\$40.53
% Change from FY 2014-15 Average Rate	1.34%	2.58%	5.78%	5.74%	4.34%	2.38%	0.05%
FY 2016-17 Q1 and Q2 Estimated Rate	\$14.55	\$151.64	\$28.19	\$60.14	\$19.87	\$218.13	\$41.50
% Change from FY 2015-16 Q3 and Q4 Rate	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.15%
% Change from FY 2015-16 Average Rate	0.97%	0.68%	2.62%	2.68%	2.05%	0.79%	2.40%
FY 2016-17 Q3 and Q4 Estimated Rate	\$14.84	\$153.75	\$29.74	\$63.45	\$20.71	\$221.58	\$42.74
% Change from FY 2016-17 Q1 and Q2 Rate	1.99%	1.39%	5.50%	5.50%	4.23%	1.58%	2.99%
% Change from FY 2015-16 Average Rate	2.98%	2.08%	8.26%	8.33%	6.37%	2.38%	5.46%
FY 2016-17 Estimated Weighted Average Rate ⁽⁴⁾	\$14.70	\$152.71	\$28.98	\$61.83	\$20.30	\$219.86	\$42.12
% Change from FY 2015-16 Average Rate	2.01%	1.39%	5.50%	5.57%	4.26%	1.58%	3.93%

¹ Breast and Cervical Cancer Program participants share a capitation rate with the remainder of the Adult population, and comprise less than 1% of that total population. As such, a separate analysis was not performed.

² The Weighted Behavioral Health Total is the weighted capitation rate distributed by Behavioral Health Organization (BHO) across each eligibility category based on the total number of claims processed (i.e. Elderly clients age 65 and over make up a percentage of all client claims, and each BHO services some subset of the total number of claims for Elderly clients).

³ The Department has adjusted the rates paid to the BHOs in FY 2008-09 through FY 2010-11 due to budget actions. The numbers provided, here, reflects the actuarial point estimates prior to budget actions and therefore do not match the numbers in Exhibit FF, which demonstrate the actual paid rates to the BHOs.

⁴ The weighted rate is derived by distributing the individual rates across the estimated proportion of caseload seen under the respective half years that the two rates are in effect.

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Capitation Rate Across Eligibility Categories							
Fiscal Year	Adults 65 and Older	Disabled Individuals	Low Income Adults	MAGI Adults	Eligible Children	Foster Care	Total
FY 2007-08 Caseload	36,284	56,079	59,761		204,022	17,141	373,287
Percentage of Total Caseload	9.72%	15.02%	16.01%		54.66%	4.59%	100.00%
FY 2007-08 Weighted Capitation Rate	\$13.15	\$114.07	\$17.51		\$13.94	\$262.46	\$40.88
FY 2008-09 Caseload	37,619	57,802	68,850		235,129	18,033	417,433
Percentage of Total Caseload	9.01%	13.85%	16.49%		56.33%	4.32%	100.00%
FY 2008-09 Weighted Capitation Rate	\$13.37	\$121.31	\$18.18		\$14.34	\$251.88	\$39.96
FY 2009-10 Average Caseload	38,487	60,313	85,907		275,672	18,381	478,760
Percentage of Total Caseload	8.04%	12.60%	17.94%		57.58%	3.84%	100.00%
FY 2009-10 Average Weighted Capitation Rate	\$13.40	\$131.64	\$19.33		\$14.71	\$220.67	\$38.08
FY 2010-11 Average Caseload	38,921	64,052	116,149		302,410	18,393	539,925
Percentage of Total Caseload	7.21%	11.86%	21.51%		56.01%	3.41%	100.00%
FY 2010-11 Average Weighted Capitation Rate	\$13.79	\$139.14	\$20.94		\$15.41	\$195.38	\$37.29
FY 2011-12 Average Caseload	39,740	67,869	136,315	1,134	334,633	18,034	597,725
Percentage of Total Caseload	6.65%	11.35%	22.81%	0.19%	55.98%	3.02%	100.00%
FY 2011-12 Average Weighted Capitation Rate	\$13.89	\$140.82	\$21.69	\$100.85	\$16.33	\$179.30	\$36.60
FY 12-13 Average Caseload	40,827	71,859	149,305	10,634	368,079	17,777	658,481
Percentage of Total Caseload	6.20%	10.91%	22.67%	1.61%	55.90%	2.70%	100.00%
FY 2012-13 Average Weighted Capitation	\$13.66	\$140.28	\$21.89	\$100.98	\$16.75	\$171.85	\$36.74
FY 2013-14 Average Caseload	41,836	76,837	185,979	87,243	424,377	18,267	834,539
Percentage of Total Caseload	5.01%	9.21%	22.29%	10.45%	50.85%	2.19%	100.00%
FY 2013-14 Average Weighted Capitation	\$13.96	\$144.99	\$24.05	\$79.28	\$17.21	\$176.87	\$40.32
FY 2014-15 Average Estimated Caseload	42,087	80,827	251,219	240,362	495,433	20,129	1,130,057
Percentage of Total Caseload	3.72%	7.15%	22.23%	21.27%	43.84%	1.78%	100.00%
FY 2014-15 Average Weighted Capitation	\$14.22	\$146.82	\$25.97	\$55.39	\$18.66	\$211.39	\$40.52
FY 2014-15 Q1 and Q2 Estimated Caseload	42,052	79,452	240,408	214,360	484,053	20,151	1,080,476
Percentage of Caseload	3.89%	7.35%	22.25%	19.84%	44.80%	1.87%	100.00%
FY 2014-15 Q1 and Q2 Weighted Capitation Rate	\$14.22	\$146.82	\$25.97	\$55.39	\$18.66	\$211.39	\$40.42
FY 2014-15 Q3 and Q4 Estimated Caseload	42,122	82,202	262,029	266,363	506,812	20,107	1,179,635
Percentage of Caseload	3.57%	6.97%	22.21%	22.58%	42.96%	1.70%	100.00%
FY 2014-15 Q3 and Q4 Weighted Capitation Rate	\$14.22	\$146.82	\$25.97	\$55.39	\$18.66	\$211.39	\$40.63
FY 2015-16 Average Estimated Caseload	42,971	85,135	282,708	287,239	536,440	20,237	1,254,730
Percentage of Total Caseload	3.42%	6.79%	22.53%	22.89%	42.75%	1.61%	100.00%
FY 2015-16 Average Weighted Capitation	\$14.41	\$150.61	\$27.47	\$58.57	\$19.47	\$216.43	\$42.12
FY 2015-16 Q1 and Q2 Estimated Caseload	42,641	84,377	277,428	287,921	527,051	20,193	1,239,611
Percentage of Caseload	3.44%	6.81%	22.38%	23.23%	42.52%	1.63%	100.00%
FY 2015-16 Q1 and Q2 Weighted Capitation Rate	\$14.27	\$149.56	\$26.72	\$57.00	\$19.06	\$214.73	\$41.50
FY 2015-16 Q3 and Q4 Estimated Caseload	43,299	85,892	287,988	286,557	545,828	20,280	1,269,844
Percentage of Caseload	3.41%	6.76%	22.68%	22.57%	42.98%	1.60%	100.00%
FY 2015-16 Q3 and Q4 Weighted Capitation Rate	\$14.55	\$151.64	\$28.19	\$60.14	\$19.87	\$218.13	\$42.74
FY 2016-17 Average Estimated Caseload	44,144	89,299	306,344	299,107	570,410	20,402	1,329,706
Percentage of Total Caseload	3.32%	6.72%	23.04%	22.49%	42.90%	1.53%	100.00%
FY 2016-17 Average Weighted Capitation	\$14.70	\$152.71	\$28.98	\$61.83	\$20.30	\$219.86	\$43.41
FY 2016-17 Q1 and Q2 Estimated Caseload	43,880	88,006	299,843	292,956	562,672	20,362	1,307,719
Percentage of Caseload	3.36%	6.73%	22.93%	22.40%	43.03%	1.56%	100.00%
FY 2016-17 Q1 and Q2 Weighted Capitation Rate	\$14.55	\$151.64	\$28.19	\$60.14	\$19.87	\$218.13	\$42.58
FY 2016-17 Q3 and Q4 Estimated Caseload	44,406	90,592	312,844	305,258	578,147	20,441	1,351,688
Percentage of Caseload	3.29%	6.70%	23.14%	22.58%	42.77%	1.51%	100.00%
FY 2016-17 Q3 and Q4 Weighted Capitation Rate	\$14.84	\$153.75	\$29.74	\$63.45	\$20.71	\$221.58	\$44.20